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PATENT APPLICATION Docket No. 14321.59

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of | |) |
|----------------------|---------------------------|----------------------|
| | Shin Kamei et al. |) |
| Serial No.: | 10/715,146 |) Art Unit) 2883 |
| Filed: | November 17, 2003 |) 2003 |
| Confirmation No.: | 1904 |) |
| For: | OPTICAL WAVEGUIDE CIRCUIT |) |
| Examiner: | Eric K. Wong |) |

TRANSMITTAL FOR AMENDMENT "A" AND RESPONSE

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment "A" and Response for entry in the above-identified application.

| Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted. | | | |
|---|--|--|--|
| To secure the approval of the Examiner for proposed amendments to the drawings, enclosed are the following: | | | |
| Set of including the proposed amendments therein; and | | | |

| | A duplicate set of the () sheets of drawings with the changes therein highlighted in red. |
|----------|--|
| <u>X</u> | To render the transmitted Amendment "A" and Response timely filed enclosed are the following: |
| | X Petition for a One-Month Extension of Time; and |
| | X Form PTO-2038 submitting Credit Card Payment in the amount of \$120.00 to cover the fee for the extension of time. |
| <u>X</u> | A Certificate of Express Mail under 37 C.F.R. § 1.10. |
| <u>X</u> | No Other fee is required. |

The fee has been calculated as follows:

| | | SMALL ENTITY | | LARGE ENTITY | | |
|---|---------------------------------------|------------------|-------|---------------|-------|---------------|
| CLAIMS REMAINING AFTER | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDT'L FEE | RATE | ADDT'L FEE |
| TOTAL 194 | MINUS 194 | = 0 | Х | | Х | |
| INDEPENDENT 3 | MINUS 3 | = 0 | X | | Х | |
| 1st PRESENTATION OF MULTIPLE DEPENDENT CLAIM | | += | | += | | |
| | | | TOTAL | | TOTAL | |

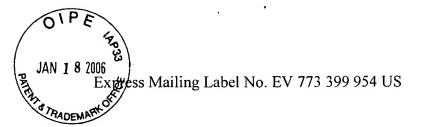
| | | PTO-2038 submitting Credit Card Payment in the amount of \$ is enclosed for the additional claim fee. | | |
|----------|--|---|--|--|
| | Please | charge my Deposit Account No. 23-3178 in the amount of | | |
| <u>X</u> | The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178. | | | |
| | <u>X</u> | Any filing fees under 37 CFR 1.16 for the presentation of extra claims. | | |
| | <u>X</u> | Any patent application processing fees under 37 CFR 1.17. | | |
| X | A dup | licate copy of this transmittal letter is attached. | | |

Dated this 18th day of January 2006.

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant Registration No. 37,246 Customer No. 022913 Telephone No. 801.533.9800

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of | |) |
|----------------------|---------------------------|------------|
| | Shin Kamei et al. |) |
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| Filed: | November 17, 2003 |) 2883 |
| Confirmation No.: | 1904 |) |
| For: | OPTICAL WAVEGUIDE CIRCUIT |) |
| Examiner: | Eric K. Wong |) |

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Transmittal Letter (3 pages) (in duplicate)
- Amendment "A" and Response (37 pages)
- Extension of Time (2 pages) (in duplicate)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$120
- Postcard

Dated this 18th day of January 2006.

Respectfully submitted,

DANA L. TANGREN

Attorney for Applicant Registration No. 37,246

Customer No. 022913

Telephone No. 801.533.9800

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